



You've got investment goals.
We'll help you get there.

Wealth management solutions from NYLIFE Securities.



Welcome to NYLIFE Securities.

Through world-class investment services and personalized guidance, we empower clients to pursue a more secure, satisfying future.

Our commitment goes beyond offering investment options: we focus on building long-term relationships and delivering holistic advice to help you make informed decisions that align with your financial future.

As a registered broker- dealer and wholly owned subsidiary of New York Life Insurance Company, NYLIFE Securities provides the resources you need to help grow and maintain wealth.



Personalized guidance with a commitment to helping you achieve your goals.



Quality investment options and services.



Choice of solutions for individuals or businesses.



Clarity and confidence when you invest with trusted expertise and support.

Your future, our focus.

No matter where you are in your financial journey, a NYLIFE Securities Registered Representative can help you invest with confidence. Using a strategy customized to your goals, we can help you build wealth, prepare for retirement, save for education costs, or address any of your other long-term goals.

Goals	Solution
 Accumulate wealth and address varied financial goals.	Invest through a brokerage account offering a wide variety of assets including mutual funds, exchange traded fund (ETFs), stocks, bonds, and other individual securities as well as alternative investments. ¹
 Enjoy a secure and comfortable retirement.	Put your money to work for you in an efficient, tax-advantaged account such as a Traditional, Roth, or Rollover IRA. ²
 Cover the cost of education.	Accumulate funds for education with the benefits of tax-deferral and tax-free withdrawals through a 529 Education Savings Plan. ³
 Pay for future healthcare expenses.	If eligible, invest in a triple tax-advantaged Health Savings Account ("HSA").
 Provide myself and my employees with access to tax-advantaged retirement savings.	Enroll your business in an employer-sponsored retirement plan such as a 401k or SIMPLE IRA, enabling you and your employees to benefit from tax-advantaged investing for retirement. ⁴

Access to expert money management.

The investment platform brought to you by NYLIFE Securities features a diverse range of expert asset managers, each delivering a deep understanding of the markets and a specialized approach to money management.



BlackRock



Goldman Sachs Asset Management



Janus Henderson
INVESTORS

J.P.Morgan
ASSET MANAGEMENT



Morgan Stanley
INVESTMENT MANAGEMENT



Put our experience and resources to work for you.

For more than 180 years, New York Life has proven its enduring strength and resilience by successfully navigating countless economic and market cycles and has earned the highest financial strength ratings currently awarded to any U.S. life insurer from all four major ratings agencies.⁵ As a mutual company, we're steadfastly committed to putting our clients first and to helping them build financial security that lasts for generations to come.

Protect your lifestyle, future, and loved ones.

As part of our holistic approach, your NYLIFE Securities financial professional can also introduce you to a wide range of solutions including life insurance, annuities, long-term care and disability insurance.⁶ Whether you need guaranteed income in retirement, or a tax-efficient way to leave behind an inheritance, the New York Life family of companies can provide a solution for every stage of life.



¹ For those who meet income and net worth criteria. Alternative investments are non-traditional assets including private equity, private credit and hedge funds. NYLIFE Securities financial services professionals may not solicit or recommend the purchase or sale of general securities, such as stocks and bonds.

² Your Modified Adjusted Gross Income ("MAGI") and other factors affect eligibility and contribution limits.

³ Invest in state-sponsored educational savings plans that provide federal tax advantages when used for qualified expenses including tuition for college, vocational or K-12 schools, apprenticeship programs, room & board, books, computers and more.

⁴ NYLIFE Securities offers a variety of employer-sponsored retirement plans, including: Savings Incentive Match Plan for Employees (SIMPLE IRA), Simplified Employee Pension Plan (SEP IRA), 401(k), 403(b), Profit Sharing, Defined Benefit and more.

⁵ Source: <https://www.newyorklife.com/about/our-strength/what-rating-agencies-say>. Financial strength and ratings do not apply to investment performance which is subject to market risks and will fluctuate in value, including gains and losses.

⁶ Product solutions are issued by New York Life Insurance Company (NYLIC) and New York Life Insurance Company or New York Life Insurance and Annuity Corporation, a wholly owned subsidiary of NYLIC. Guarantees are based on the claims-paying ability of the issuer.

NYLIFE Securities, Member FINRA, SIPC. A Licensed Insurance Agency. Accounts carried by National Financial Services LLC, Member NYSE, SIPC.

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